



**Division of Local
Government Services**



Northern Border Regional Commission (NBRC)

2021 Program Overview

March 22, 2021

A Division of New York Department of State

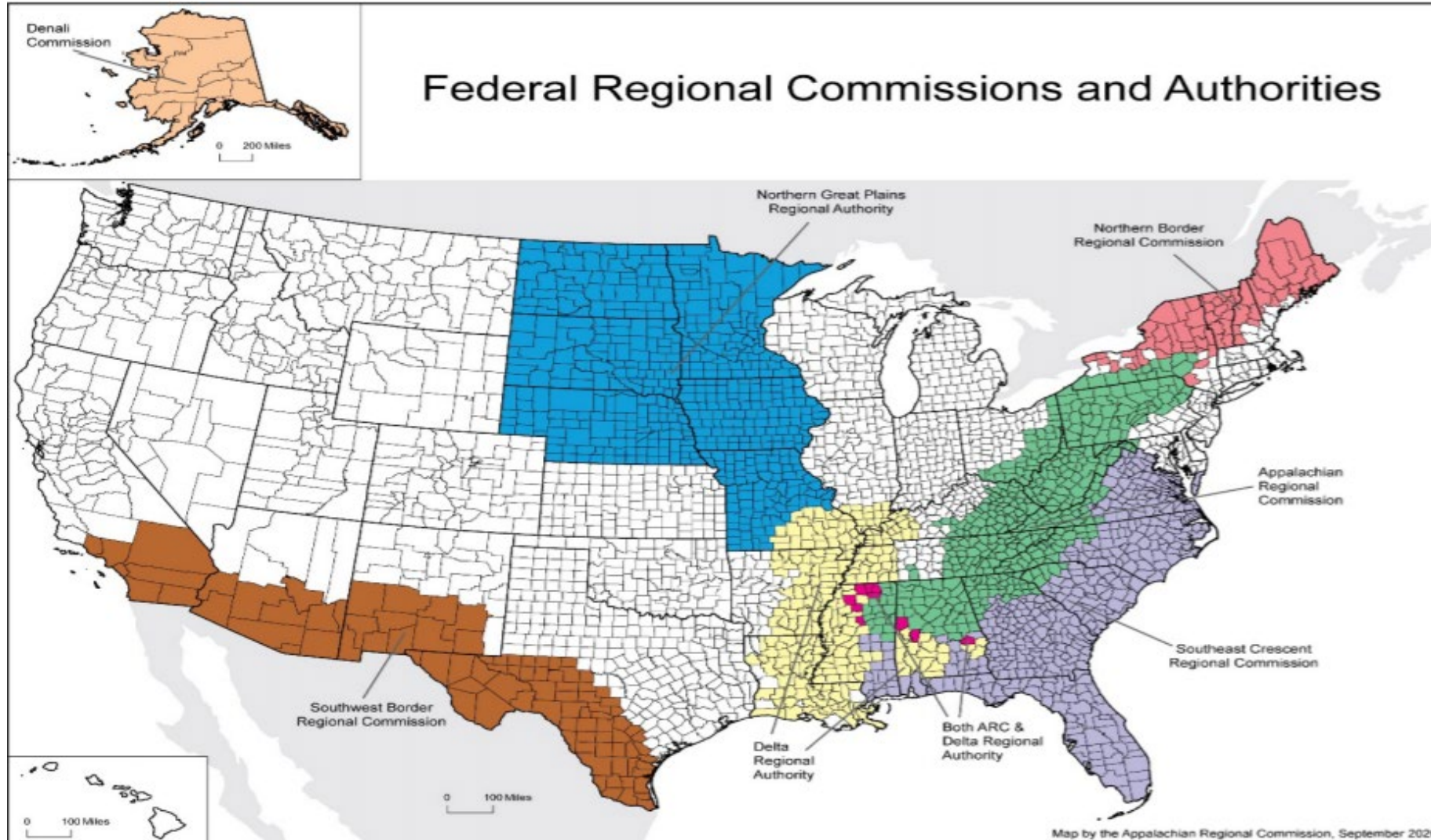
March 22, 2021

NBRC Overview

Established by Congress in 2008, the Northern Border Regional Commission (NBRC) is a partnership between the federal government and the States of Maine, New Hampshire, New York, and Vermont. The mission of the NBRC is to fund economic development and infrastructure projects throughout designated counties in its four-state service area. The governance of the NBRC is based on the Appalachian Regional Commission model created in the 1960s.

The NBRC partnership provides investments to job-creating projects that help reduce poverty, unemployment, and outmigration. NBRC investment funds originate from the Federal Government but are approved by the Federal Government's NBRC representative (Federal Co-Chair) and the Governors of the four States.

The NBRC partnership is aided by recognized Local Development Districts (LDD) that assist with technical assistance, provide information on complimentary funding opportunities for projects, and ensure consistency with administration of projects that are funded.



NBRC Service Area

Only projects within the NBRC's service area are eligible for funding under 40 U.S.C. §15733. The NBRC service area is:

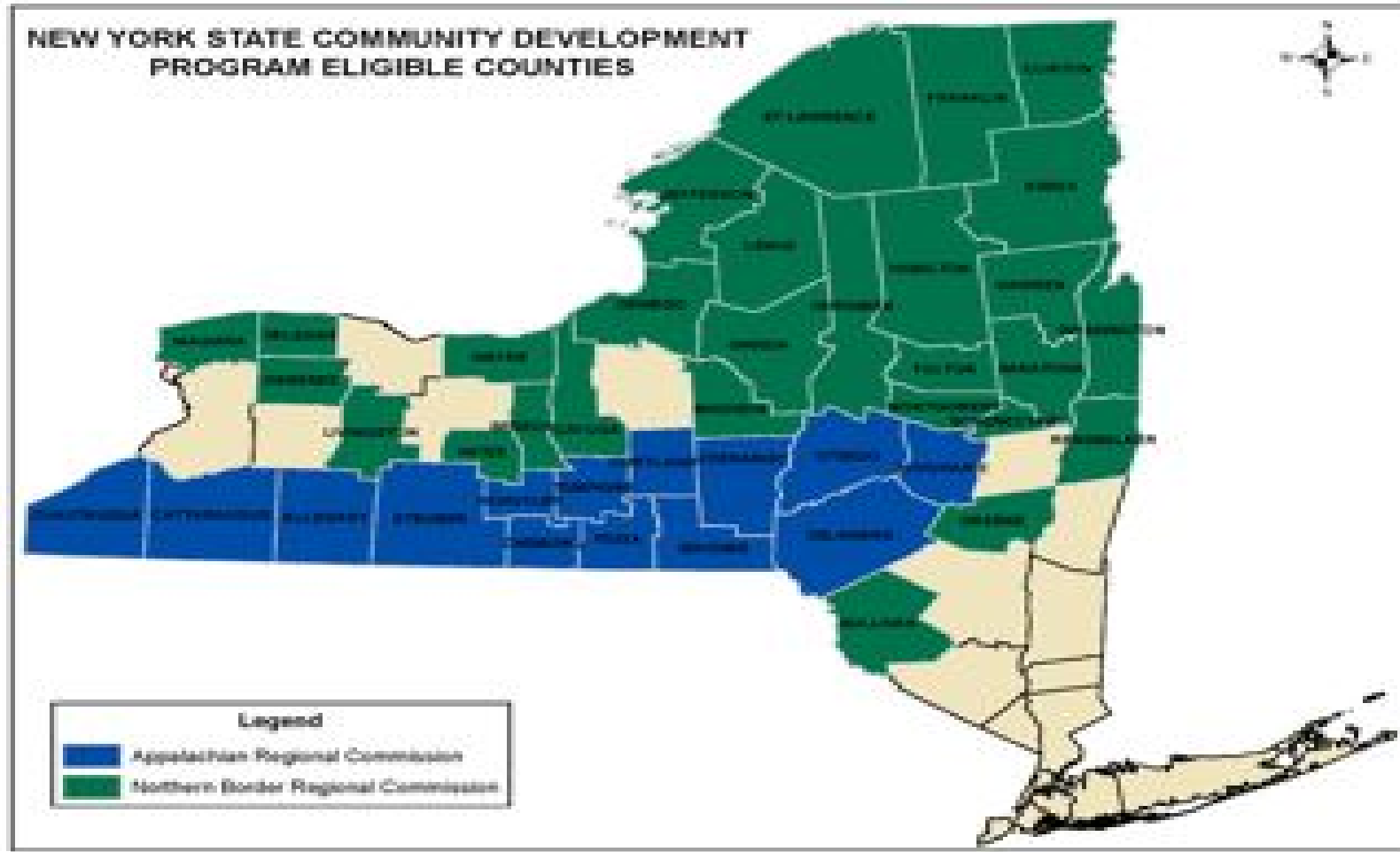
Maine: Androscoggin, Aroostook, Franklin, Hancock, Kennebec, Knox, Oxford, Penobscot, Piscataquis, Somerset, Waldo, and Washington counties.

New Hampshire: Belknap, Carroll, Cheshire, Coös, Grafton, and Sullivan counties

New York: Cayuga, Clinton, Essex, Franklin, Fulton, Genesee, Greene, Hamilton, Herkimer, Jefferson, Lewis, Livingston, Madison, Montgomery, Niagara, Oneida, Orleans, Oswego, Rensselaer, St. Lawrence, Saratoga, Schenectady, Seneca, Sullivan, Warren, Washington, Wayne, and Yates counties.

Vermont: all counties within the State

NY Regional Commissions



NBRC Representation

Governors of Maine, New Hampshire, and Vermont

Governor Cuomo's Alternate, **Mark Pattison**, Deputy Secretary of State,

Kyle Wilber, NBRC State Program Manager

John Demarest, NBRC State Project Manager
and Project Manager, Local Government Efficiency Program

NBRC Investments

In its twelve-year history, the Commission has awarded 287 grants amounting to more than \$72 Million across the four states through its primary Economic & Infrastructure Development (EID) grant program and other special initiatives.



NBRC – 2020 New York Investments

Total Number of Applicants: 43

Total Amount of Funds Requested: \$28,675,246

Total Number of Awards: 8

Total Amount of Funds Awarded: \$ 4,499,626



NBRC – 2021 Allocation

Total NBRC Appropriation for FY'21: \$38.5MM

New York - \$4.6MM

www.nbrc.gov

2021 SEID Program Timeline

Materials available on NBRC website	March 8 th
Letters of Interest (Submission strongly encouraged)	By March 26 th
LDD Waiver Request	By April 2 nd
Significant Benefit Waiver Request	By April 2 nd
Application portal open	April 15 th

2021 SEID Program Timeline

Waiver Requests for Previously Awarded Recipients	By May 1 st
Applications (via NBRC application portal only)	By May 14 th
NBRC notification of awards & notice to unsuccessful applicants	By August 31 st
NBRC grant agreement preparation & distribution	September
LDD and grant recipient training (virtual)	October/November



2021 SEID Program – What's New

Letter of Interest Submission is strongly encouraged

Submission of Letters of Interest will be submitted electronically to NBRC and shared with the State Program Managers in each state.

The goal in encouraging LOI submission is to make State Program Managers aware of your project, as well as provide feedback and guidance prior to the submission of a full application.

Creation of dedicated e-mail for the submission of LOIs

LOIs not part of the application

2021 SEID Program – What's New

Significant technical and user-friendly updates have been made to NBRC's application portal to make the submission of an application and the upload of application support materials easier

Based on FY'21 appropriations language, NBRC will accept requests from 2021 grant applicants for "partial match" or "no match" applications if the grantee demonstrates that matching funds are not available because of the impacts of COVID-19. Please see Appendix A of the 2021 SEID Application manual for additional NBRC guidance

2021 SEID Program – Helpful Hints

Review Program Materials available at www.nbrc.gov

Discuss your project specifics with the State Program Manager for alignment with State Priorities

Contact NBRC staff with programmatic questions (i.e., eligibility, waiver requests) or technical questions at admin@nbrc.gov

Review checklist of required application documentation

State Economic & Infrastructure Development Grant

Eligible Applicants

- State governments, local governments and Indian tribes
- Regional planning commissions, state authorities

Ineligible Applicants

- For-profit entities, LLCs and other entities that are not a 501(c)
- Normally eligible entities, deemed ineligible due to prior federal or state funding history
- Prior recipients with less than 75% project completion



State Economic & Infrastructure Development Grant

Eligible Funding Categories

- Infrastructure (transportation, basic public infrastructure and telecommunications)
- Workforce education, entrepreneurship, and business development
- Basic health care and other public services to assist economically distressed areas
- Resource conservation, tourism, recreation, and preservation of open space
- Development of renewable and alternative energy sources

Ineligible Uses

- Pass-through funding
- Business relation location assistance
- Funding to “supplant” existing federal programs
- Promotion of unfair competition between businesses



State Economic & Infrastructure Development Grant

Project Funding and Match

- \$1,000,000 for an infrastructure project
- \$350,000 for all other project types
- Grants are reimbursements of previously paid and eligible expenses

Project Match

- 20% match in distressed counties
- 50% match in transitional counties
- Federal funds can be used as match
 - All federal funding is capped at 80% per project

State Economic & Infrastructure Development Grant

Eligible Match

- Volunteer time
- Indirect rate
- Municipal force accounts
- In-kind
- Donations

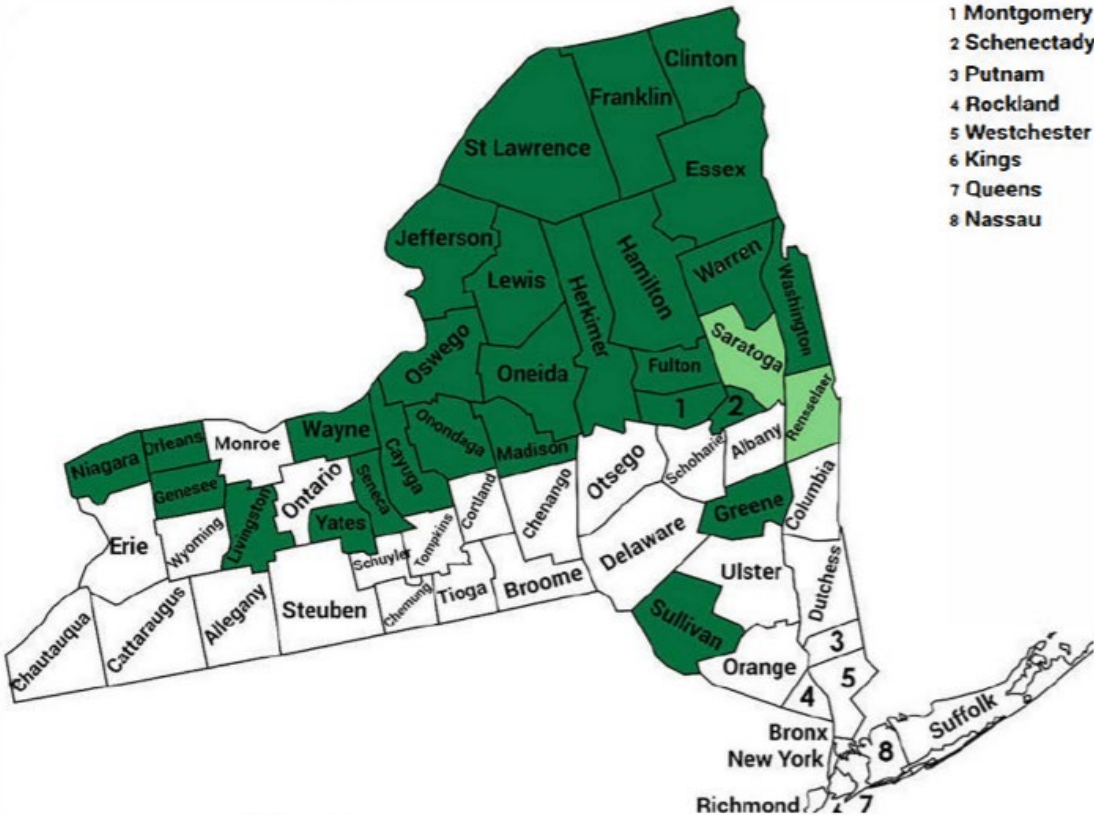
Multi-State, Multi-County Applications

- Encouraged
- Average the county distress criteria

State Economic & Infrastructure Development Grant

New York County Designations

- "Distressed" 20% minimum match
- "Transition" 50% minimum match
- "Attainment" Ineligible in the NBRC region
- Not in the NBRC region



NBRC Review Criteria

- 1) Project readiness – Up to 10 points
 - Notice to Proceed within 12 months of date of award.
Funding is clearly outlined
- 2) Alignment with Strategic Plans – Up to 10 points
- 3) Economic Impacts – Up to 10 points
 - Impact on job retention, job retention and/or wage growth within an identified timeframe.

NBRC Review Criteria

- 4) The project location is identified and NBRC funds will be deployed within an Opportunity Zone – Up to 5 points
- 5) Private investment has been identified and/or private investment is feasible in the future – Up to 5 points
- 6) Organizational capacity – Up to 5 points
- 7) Clear connection between the project and specific objectives in NBRC Strategic Plan – Up to 5 points

New York State Review Criteria

- 1) Financial Need – Up to 5 points
- 2) Project Need and Significance of Community Impact – Up to 5 points
- 3) Support of Applicable Regional Economic Development Council – Up to 5 points
- 4) Project Link to Downtowns, Existing Community Centers or Identified Growth Areas – Up to 5 points

New York State Review Criteria

- 5) Project Sustainability – Up to 5 points
- 6) Regional Impact – Up to 5 points
- 7) Support for Workforce Development, Entrepreneurship and Emerging Economic Opportunities – Up to 5 points
- 8) Development of Community Infrastructure or Assets Critical for Sustainable Development – Up to 5 points

New York State Review Criteria

9) New York State Program Priorities – Up to 10 Points

- Geographic distribution of grants
- Uniqueness of the project as reviewed against project applications
- Ability of the project to serve as a model for future projects
- Impact on current regional and statewide initiatives

Past Awards

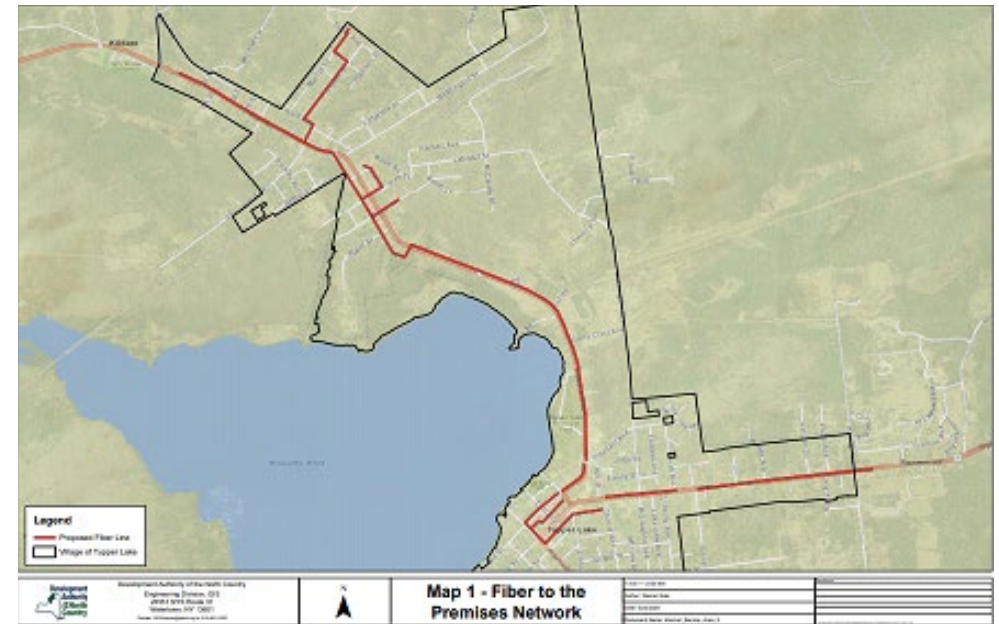
2020 Grant

Development Authority of the North Country - \$200,000

Create a 5.5-mile municipal broadband network

Establish 3 wireless hot-spots and

Provide broadband access for business development and retention, and 125 unserved homes.



Past Award

2019 Grant

Orleans County IDA - \$480,000

Engineering and construction of improvements to nine railroad bridges and culverts on the Falls Road Railroad in Orleans and Niagara Counties

Maintain rail service to customers and retain 225+ jobs



Past Awards

2016 Grant

Clarkson University - \$250,000

Conversion of Damon Hall into light manufacturing incubator space, creating new spaces for startups

Building upon Clarkson's Shipley Center for Innovation's success which had created 147 business startups with 382 active projects currently in the pipeline across the North Country region



Past Awards

2014 Grant

Hudson Headwaters Health Network - \$250,000

Construction of a new community health center in Champlain, Clinton County

Built to manage a 30% increase to existing facility.

25,916 square feet health center,

\$9 million to build and outfit.



Past Awards

2013 Grant

Port of Oswego Authority - \$192,000

Rail spur construction and additional rail car storage

Only deep-water port on the U.S. shores of Lake Ontario

Enhance the port's accessibility for international shipping



Past Awards

2011 Grant

Clayton Local Development Corporation - \$250,000

Installation of basic public utilities at the Frink America site on the St. Lawrence River.

Remediated brownfield, required removal of all existing utilities on the site.

Parcel marketed for hotel development.

\$20 million investment, creates an estimated 80 full-time equivalent jobs.



Contact Information

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