

# NEW YORK STATE ENERGY CONSERVATION CONSTRUCTION CODE

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## Part 4 Compliance Form Building Design by Component Performance

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Date:

Building Address:

County:

Architect, Engineer or  
Contractor:

Phone:

Permit Applicant:

Phone:

### I. Building Type:

- |  |  |
|--|--|
| <input type="checkbox"/> Residential, four stories or more | <input type="checkbox"/> New Construction                        |
| <input type="checkbox"/> Non-residential                   | <input type="checkbox"/> Addition to existing building           |
|  | <input type="checkbox"/> Substantial renovation of existing bldg |
|  | <input type="checkbox"/> Exempt (7810.6c)                        |

### II. Design Criteria: 7811

#### B. Outdoor Design Conditions (Table 2-1):

|                             |         |    |
|-----------------------------|---------|----|
| Heating degree days         | = _____ |    |
| Winter design dry-bulb temp | = _____ | °F |
| Summer design dry-bulb temp | = _____ | °F |
| Coincident wet-bulb temp    | = _____ | °F |

C. Indoor Design Conditions:

Indoor design temperature

72°F max. heating

78°F min. cooling

Special application (documentation attached)

Application type: \_\_\_\_\_

Indoor design temp: \_\_\_\_\_

$U_o = U_o \text{ (Table 4-1)} \times \Delta t \text{ (table 2-1)} =$  \_\_\_\_\_  
 $\Delta t \text{ (design)}$

III. Building Envelope Thermal Performance: 7813.3

| Envelope System          | Max Value Allowed | Value Provided | Plan/Spec. Reference |
|--------------------------|-------------------|----------------|----------------------|
| Walls                    | $U_o = 0.20$      | $U_o =$        |                      |
| Roofs                    | $U_o = 0.05$      | $U_o =$        |                      |
| Floors                   | $U_o = 0.05$      | $U_o =$        |                      |
| Found. walls below grade | $R = 5.00$        | $R =$          |                      |
| Slab edge                | $R_i = 5.00$      | $R_i =$        |                      |
| Non-conforming envelope* |                   |                |                      |

\*Non-conforming envelope heat loss shall not exceed conforming envelope heat loss  
 (documentation attached)

Vapor Retarder: 7813.(c)

| Location Required | Location Provided | Type Provided | Plan/Spec. Reference |
|-------------------|-------------------|---------------|----------------------|
| Walls             |                   |               |                      |
| Ceiling           |                   |               |                      |
| Floor             |                   |               |                      |
| Other             |                   |               |                      |

### Insulation Continuity: 7813.3(d)

| Location Required | Required        | Specified | Plan/Spec Reference |
|-------------------|-----------------|-----------|---------------------|
| Plate lines       | Continuity      |           |                     |
| Sill lines        | Continuity      |           |                     |
| Band joists       | Continuity      |           |                     |
| Corners           | Continuity      |           |                     |
| Party walls       | R -10 each side |           |                     |

### Shading Coefficient: 7813.3(e)

| % Glazing | Max. Value Allowed | Value provided    | Plan/Spec. Reference |
|-----------|--------------------|-------------------|----------------------|
| Walls     | SC <sub>o</sub> =  | SC <sub>o</sub> = |                      |
| Roofs     | SC <sub>o</sub> =  | SC <sub>o</sub> = |                      |

## IV. AIR INFILTRATION: 7813.4

### Infiltration Rate: 7813.4(a), (d)

| Element                | Maximum Rates     | Rates Specified | Plan/Spec. Reference |
|------------------------|-------------------|-----------------|----------------------|
| Windows                | .037 cfm/lin. ft. |                 |                      |
| Residential doors*     | 0.50 cfm/sq. ft.  |                 |                      |
| Non-residential doors* | 1.25 cfm/sq. ft.  |                 |                      |

\* Vestibule or revolving doors are required for main entrance doors serving a common lobby.

### Joint Sealing: 7813.4(b)

| Joint Location         | Sealant Type Specified | Plan/Spec. Reference |
|------------------------|------------------------|----------------------|
| Windows                |                        |                      |
| Door frames            |                        |                      |
| Walls at roof/ceiling  |                        |                      |
| Walls at floors/found. |                        |                      |
| Wall panels            |                        |                      |
| Utility entrance       |                        |                      |
| Penetrations           |                        |                      |
| Other                  |                        |                      |

### Air Infiltration Barrier: 7813.4(c)

| Location | Required? | Type Specified | Plan/Spec. Reference |
|----------|-----------|----------------|----------------------|
| Walls    | yes / no  |                |                      |
| Ceiling  | yes / no  |                |                      |
| Floors   | yes / no  |                |                      |
| Other    | yes / no  |                |                      |

### V. FIREPLACE: 7813.5

| Required  | Specified | Plan/Spec. Reference |
|---|-----------|----------------------|
| Outside combustion air duct w/ damper                           |           |                      |
| Flue damper w/ max. 20 cfm, or damper and non-combustible doors |           |                      |
| Gas fireplace ignition  |           |                      |

### VI. HVAC CONTROL: 7813.13

#### Temperature Control: 7813.13(a)

| Required                       | Location, Type Specified | Plan/Spec. Reference |
|--------------------------------|--------------------------|----------------------|
| Residential:                   |                          |                      |
| Thermostat each dwelling unit  |                          |                      |
| Shut off at each dwelling unit |                          |                      |
| Non Residential                |                          |                      |
| Thermostat each system         |                          |                      |
| Thermostat each zone           |                          |                      |

Thermostat: 7813.13(b)

| Required                        | Type Specified | Plan/Spec. Reference |
|---------------------------------|----------------|----------------------|
| Minimum range 45°F-85°F         |                |                      |
| Deadband range $\geq 5^{\circ}$ |                |                      |
| Automatic capability            |                |                      |

Humidistat: 7813.13(c)

| Mode             | Humidity Setting | Setting Provided | Plan/Spec. Reference |
|------------------|------------------|------------------|----------------------|
| Humidification   | 30% RH or less   |                  |                      |
| Dehumidification | 60% RH or less   |                  |                      |

VII. HVAC RESET: 7813.14

| System Type                                 | Required                                  | Specified | Plan/Spec. Reference |
|---|---|-----------|----------------------|
| Reheat                                      | cold air supply temp.<br>reset            |           |                      |
| Dual Duct/ Multizone                        | cold & hot deck air<br>supply temp. reset |           |                      |
| Recooling                                   | hot air supply temp.<br>reset             |           |                      |
| Hydronic                                    |   |           |                      |
| Heating & cooling $\geq$<br>600,000 Btu/hr. | supply water temp.<br>reset               |           |                      |
| Heating only<br>$\geq$ 300,000 Btu/hr.      | supply water temp.<br>reset               |           |                      |

VIII. ECONOMIZER CYCLE: 7813.15

| Required                                | Specified | Plan/Spec. Reference |
|---|-----------|----------------------|
| Outdoor air for cooling                 |           |                      |
| Heat rejection without<br>refrigeration |           |                      |
| Exempt: cite provision                  |           |                      |

## IX. VENTILATION SYSTEMS: 7813.16

### Shutoff Dampers: 7813.16(a)

| System Capacity        | Required            | Specified | Plan/Spec. Reference |
|------------------------|---------------------|-----------|----------------------|
| < 1000 cfm             | tight shut-off      |           |                      |
| ≥ 1000 cfm             | max. leakage 20 cfm |           |                      |
| Exempt: cite provision |                     |           |                      |

### Controls: 7813.16(b)

| Design Operation | Required                           | Specified | Plan/Spec. Reference |
|------------------|------------------------------------|-----------|----------------------|
| Continuous       | automatic control                  |           |                      |
| Non-continuous   | independent,<br>accessible control |           |                      |

## X. HVAC PUMPING SYSTEMS: 7813.17

| Pump Size              | Required       | Specified | Plan/Spec. Reference |
|------------------------|----------------|-----------|----------------------|
| > 25 hp                | variable speed |           |                      |
| exempt: cite provision |                |           |                      |

## XI. PIPING INSULATION: 7813.18 (Table 4-4)

| System Type                        | Design Fluid Temp. |           | Pipe Size             |      |            |             |         |      |
|------------------------------------|--------------------|-----------|-----------------------|------|------------|-------------|---------|------|
|                                    |                    |           | Runout                | ≤ 1" | 1 1/4" - 2 | 2 1/2" - 4" | 5" - 6" | ≥ 8" |
| Heating                            |                    |           | Insulation Thickness* |      |            |             |         |      |
| Steam, steam condensate, hot water |                    | Min. Req. |                       |      |            |             |         |      |
|                                    |                    | Provided  |                       |      |            |             |         |      |
|                                    |                    | Reference |                       |      |            |             |         |      |
| Cooling                            |                    |           |                       |      |            |             |         |      |
| chilled water, brine, refrigerant  |                    | Min. Req. |                       |      |            |             |         |      |
|                                    |                    | Provided  |                       |      |            |             |         |      |
|                                    |                    | Reference |                       |      |            |             |         |      |

\* at R = 4.0 to 4.6 per inch of thickness.

## XII. DUCT/PLENUM INSULATION: 7813.19

$\Delta t$  = duct/plenum air temp. - surrounding temp. = \_\_\_\_\_ °F - \_\_\_\_\_ °F = \_\_\_\_\_

| $\Delta t$             | Min. Required     | Value Provided | Plan/Spec. Reference |
|------------------------|-------------------|----------------|----------------------|
| < 52.5°F               | R = 3.5           |                |                      |
| > 52.5°F               | R = $\Delta t/15$ |                |                      |
| exempt: cite provision |                   |                |                      |

## XIII. DUCT SEALING: 7813.20

| Duct Pressure  | Joint Seal Required |               |               | Sealant Specified | Plan/Spec. Reference |
|----------------|---------------------|---------------|---------------|-------------------|----------------------|
|                | trans-verse         | longi-tudinal | penet-rations |                   |                      |
| ≤ 2" w.g.      | yes                 | no            | no            |                   |                      |
| > 2" < 4" w.g. | yes                 | yes           | no            |                   |                      |
| ≥ 4" w.g.      | yes                 | yes           | yes           |                   |                      |

## XIV. HVAC EQUIPMENT PERFORMANCE REQUIREMENTS: 7813.21

Gas & Oil Fired Combustion Heating Equipment (Table 4-5)

| Category | Size Specified | Minimum Performance Required | Performance Specified | Plan/Spec. Reference |
|----------|----------------|------------------------------|-----------------------|----------------------|
|          |                |                              |                       |                      |
|          |                |                              |                       |                      |
|          |                |                              |                       |                      |
|          |                |                              |                       |                      |

### Heat Pumps (Table 4-6)

| Category & Size Specified | Source<br>/ Power | Minimum Performance Required |         | Performance Specified |         | Plan/Spec. Reference |
|---------------------------|-------------------|------------------------------|---------|-----------------------|---------|----------------------|
|                           |                   | Heating                      | Cooling | Heating               | Cooling |                      |
|                           | /                 |                              |         |                       |         |                      |
|                           | /                 |                              |         |                       |         |                      |
|                           | /                 |                              |         |                       |         |                      |
|                           | /                 |                              |         |                       |         |                      |

### Packaged Terminal Air Conditioners & Heat Pumps (Table 4-7)

| Category & Size Specified | Minimum Performance Required |         | Performance Specified |         | Plan/Spec. Reference |
|---------------------------|------------------------------|---------|-----------------------|---------|----------------------|
|                           | Heating                      | Cooling | Heating               | Cooling |                      |
|                           |                              |         |                       |         |                      |
|                           |                              |         |                       |         |                      |
|                           |                              |         |                       |         |                      |
|                           |                              |         |                       |         |                      |

### Central Air Conditioners (Table 4-8)

| Category | Specified Size<br>/ Power | Minimum Performance Required | Performance Specified | Plan/Spec. Reference |
|----------|---------------------------|------------------------------|-----------------------|----------------------|
|          | /                         |                              |                       |                      |
|          | /                         |                              |                       |                      |
|          | /                         |                              |                       |                      |
|          | /                         |                              |                       |                      |



### Chillers (Table 4-9)

| Category<br>& Size<br>Specified | Condenser<br>yes / no | Cooling<br>Source | Minimum<br>Performance<br>Required | Performance<br>Specified | Plan/Spec.<br>Reference |
|---------------------------------|-----------------------|-------------------|------------------------------------|--------------------------|-------------------------|
|                                 |                       |                   |                                    |                          |                         |
|                                 |                       |                   |                                    |                          |                         |
|                                 |                       |                   |                                    |                          |                         |
|                                 |                       |                   |                                    |                          |                         |

### Condensing Units 65,000 Btu/hr & Over (Table 4-10)

| Category | Minimum Performance<br>Required | Performance Specified | Plan/Spec. Reference |
|----------|---------------------------------|-----------------------|----------------------|
|          |                                 |                       |                      |
|          |                                 |                       |                      |
|          |                                 |                       |                      |
|          |                                 |                       |                      |

### Heat Operated Cooling Equipment (Table 4-11)

| Heat Source | Minimum Performance<br>Required | Performance Specified | Plan/Spec. Reference |
|-------------|---------------------------------|-----------------------|----------------------|
|             |                                 |                       |                      |
|             |                                 |                       |                      |
|             |                                 |                       |                      |
|             |                                 |                       |                      |

## XV. SERVICE WATER HEATING: 7813.31-7813.34

### Service Water Heating Equipment (Table 4-12)

| Category | Type of Fuel | Minimum Performance Required | Performance Specified | Plan/Spec. Reference |
|----------|--------------|------------------------------|-----------------------|----------------------|
|          |              |                              |                       |                      |
|          |              |                              |                       |                      |
|          |              |                              |                       |                      |
|          |              |                              |                       |                      |

### Insulation: 7813.33

| Category     | Size | Minimum Insulation Required* | Insulation Specified | Plan/Spec. Reference |
|--------------|------|------------------------------|----------------------|----------------------|
| Storage Tank | all  | $R \geq 6$                   |                      |                      |
| Piping       |      |                              |                      |                      |
| Piping       |      |                              |                      |                      |
| Piping       |      |                              |                      |                      |
| Piping       |      |                              |                      |                      |

\* See Table 4-4 for pipe insulation requirements

### Service Water Heating Controls: 7813.34

| Category              | Required Control    | Control Provided | Plan/Spec. Reference |
|-----------------------|---------------------|------------------|----------------------|
| System                | automatic control   |                  |                      |
| System                | temp. setting range |                  |                      |
| Circulating           | pump shutoff        |                  |                      |
| Pool Heater           | IID                 |                  |                      |
| Pool Heater           | on/off switch       |                  |                      |
| Electric water heater | separate switch     |                  |                      |
| Gas/oil water heater  | separate valve      |                  |                      |

## XVI. ELECTRICAL SYSTEMS: 7813.52

### Electric Motors (Table 4-13)

| Motor Application | HP | Open/Close | Poles/RPM | Min. Efficiency Required | Efficiency Specified | Plan/Spec. Reference |
|-------------------|----|------------|-----------|--------------------------|----------------------|----------------------|
|                   |    |            | /         |                          |                      |                      |
|                   |    |            | /         |                          |                      |                      |
|                   |    |            | /         |                          |                      |                      |
|                   |    |            | /         |                          |                      |                      |

### Electric Meters

| Building Type   | Dwelling Units | Separate Meter Required | Meter(s) Provided | Plan/Spec. Reference |
|-----------------|----------------|-------------------------|-------------------|----------------------|
| Residential     | yes            | yes                     |                   |                      |
| Residential     | no             | no                      | -                 | -                    |
| Non-residential | no             | no                      | -                 | -                    |

## XVII. LIGHTING EQUIPMENT: 7813.53

### Lighting Controls

| General Lighting Controls: |                                  |                   |                      |
|----------------------------|----------------------------------|-------------------|----------------------|
| Room Size/Schedule         | Control Required                 | Control Specified | Plan/Spec. Reference |
| < 500 sq. ft.              | Accessible Control               |                   |                      |
| > 500 sq. ft.              | Bi-level Control or Dimmer       |                   |                      |
| < 24 hour use              | Programmable or Occupancy Sensor |                   |                      |
| 24 hour use                | 1 Control for each 500 Full S.F. |                   |                      |
| Other Lighting Controls:   |                                  |                   |                      |
| Application                | Control Required                 | Control Specified | Plan/Spec. Reference |
| Highlighting               | Independent Control              |                   |                      |
| Special                    | Independent Control              |                   |                      |
| Exterior Lighting          | Timer/photocell                  |                   |                      |

## Fluorescent Lamp Ballasts (Table 4-14)

| Ballast Type | Input Voltage | BEF Required | BEF Specified | Power Factor Specified | Plan/Spec. Reference |
|--------------|---------------|--------------|---------------|------------------------|----------------------|
|              |               |              |               |                        |                      |
|              |               |              |               |                        |                      |
|              |               |              |               |                        |                      |
|              |               |              |               |                        |                      |

## 3 Lamp Luminaries

| Ballast Type | Required                       | Specified | Plan/Spec. Reference |
|--------------|--------------------------------|-----------|----------------------|
|              | 3-lamp ballast or tandem wired |           |                      |
|              |                                |           |                      |
|              |                                |           |                      |
|              |                                |           |                      |

## Fluorescent Lamps (Table 4-15)

| Lamp Type | Min. LPW Required | LPW Specified <sup>1</sup> | CRI Specified <sup>2</sup> | Plan/Spec. Reference |
|-----------|-------------------|----------------------------|----------------------------|----------------------|
|           |                   |                            |                            |                      |
|           |                   |                            |                            |                      |
|           |                   |                            |                            |                      |
|           |                   |                            |                            |                      |

<sup>1</sup> May be driven by dividing rated lumen output by rated lamp wattage.

<sup>2</sup> CRI may not be less than 67.

## Luminaires (Table 4-16)

| Information is provided: |                    | <input type="checkbox"/> below<br><input type="checkbox"/> on fixture schedule, refer to _____ |               |                      |
|--------------------------|--------------------|--|---------------|----------------------|
| Luminaire Type           | Lumen Distribution | Minimum TLE Required   | TLE Specified | Plan/Spec. Reference |
|                          |                    |  |               |                      |
|                          |                    |  |               |                      |
|                          |                    |  |               |                      |
|                          |                    |  |               |                      |
|                          |                    |  |               |                      |
|                          |                    |  |               |                      |
|                          |                    |  |               |                      |
|                          |                    |  |               |                      |

## XVIII. LIGHTING SYSTEMS: 7813.54

| Building Interiors (Table 4-18)  |                   |               |                      |
|--|-------------------|---------------|----------------------|
| Building Type  | Max LPL Permitted | LPL Specified | Plan/Spec. Reference |
|  |                   |               |                      |
| Building Exteriors (Table 4-19)  |                   |               |                      |
| Location   | Max LPL Permitted | LPL Specified | Plan/Spec. Reference |
| Exit   |                   |               |                      |
| Entrance: Canopy<br><input type="checkbox"/> yes <input type="checkbox"/> no |                   |               |                      |
| Facade   |                   |               |                      |